

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 15 Mar 2011 has been entered.

EXAMINER'S STATEMENT OF REASONS FOR ALLOWANCE

2. **Best U.S. reference: Levine, US 6,233,566 B1** teaches an online centralized financial products exchange system that creates a "marketplace" for end-to-end financial products life cycle transactions. The marketplace allows subscribers to analyze, buy and sell financial products.

3. **The following is an Examiner's Statement of Reasons for Allowance:** The Board of Patent Appeals and Interferences on 3 December 2010 reversed the Examiner. The Issue considered "does Levine describe, expressly or inherently, the step of "receiving search criteria over the computer network from at least one of the users, the search criteria identifying at least one structured securities transaction, the at least one structured securities transaction being associated with at least one underlying security" (claim 1)? The Board of Patent Appeals and Interferences determined that the Examiner had not shown that Levine inherently describes the subject matter and the rejection of claims 1-45 was reversed.

CONCLUSION

4. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "**Comments on Statement of Reasons for Allowance.**"

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to PAUL DANNEMAN whose telephone number is (571)270-1863. The examiner can normally be reached on Mon.-Thurs. 6AM-5PM Fri. off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Florian Zeender can be reached on 571-272-6790. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Paul Danneman/

Examiner, Art Unit 3627

/F. Ryan Zeender/

Supervisory Patent Examiner, Art Unit 3627